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0 0	AA1	4,676,980	06/30/1987	Segal et al.			09/23/1985		
NOV 10 2004	AB1	4,722,848	02/02/1988	Paoletti et al.			06/19/1984		
TA PRADEMIN	AC1	4,816,567	03/28/1989	Cabilly et al.			04/08/1983		
THADE	AD1	4,831,175	05/16/1989	Gansow et al.			09/05/1986		
	AE1	4,946,778	08/07/1990	Ladner et al.			01/19/1989		
	AF1	5,099,069	03/24/1992	Gansow et al.		-	12/16/1988		
	AG1	5,124,471	06/23/1992	Gansow et al.			03/26/1990		
	AH1	5,229,275	07/20/1993	Goroff			04/26/1990		
1	Al1	5,246,692	09/21/1993	Gansow et al.			08/22/1991		
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	AJ1	5,286,850	02/15/1994	Gansoh et al.			05/11/1992		
- \\/	AK1	5,434,287	07/18/1995	Gansow et al.			11/04/1993		
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$-(\mathcal{N})$	AL1	WO 93/11161	06/10/1993	WIPO			N/A		
	AM1	WO 94/13804	06/23/1994	WIPO			N/A		
	AN1	EP 0 368 684 B1	03/09/1994	Europe			N/A		
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ly	AR			ergistic T Cell Activation Exp. Med. 168: 1145-					
W	AS		Bird, R. E., et al., "Single-Chain Antigen-Binding Proteins," Science 242:423-426, American Association for the Advancement of Science (1988)						
4	АТ		tutions," Scier	phering the Message nce 247:1306-1310, A					
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Cef	AA2	5,460,785	5	10/24/1995	Rhodes et al.			01/03/1992
	AB2	5,545,806	3	08/13/1996	Lonberg et al.			12/16/1992
	AC2	5,545,807	7	08/13/1996	Surani et al.			08/05/1994
	AD2	5,567,610)	10/22/1996	Borrebaeck et al.			10/17/1994
	AE2	5,569,825	5	10/29/1996	Lonberg et al.			12/17/1991
	AF2	5,585,089	9	12/17/1996	Queen et al.			06/07/1995
	AG2	5,591,669	3	01/07/1997	Krimpenfort et al.			05/30/1995
	AH2	5,648,267	7	07/15/1997	Reff			11/03/1993
	Al2	5,658,570	5	08/19/1997	Newman et al.			01/25/1995
X	AJ2	5,693,76	1	12/02/1997	Queen et al.			06/07/1995
1	AK2	5,693,762	2	12/2/1997	Queen et al.			06/07/1995
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	AS	<u>2</u> Im	Brennan, M., et al., "Preparation of Bispecific Antibodies by Chemical Recombination of Monoclonal Immunoglobulin G ₁ Fragments," <i>Science 229</i> :81-83, American Association for the Advancement of Science (1985)					
Ver	AT] AI	Brüggemann, M., et al., "Designer Mice: The Production of Human Antibody Repertoires in Transgenic Animals," in <i>Generation of Antibodies by Cell and Gene Immortalization</i> , Terhorst, C., et al., Karger AG, Basel, Switzerland, pp.33-40 (1993)					
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g	AA3	5,693	3,780	12/2/1997	Newman et al.			06/07/1995	
	AB3	5,733	3,779	03/31/1998	Reff			06/07/1995	
	AC3	5,736	5,137	04/07/1998	Anderson et al.			11/03/1993	
	AD3	5,756	6,096	05/26/1998	Newman et al.		 	06/07/1995	
	AE3	5,811	,524	09/22/1998	Brams et al.			06/07/1995	
	AF3	5,843	3,439	12/01/1998	Anderson et al.			06/07/1995	
	AG3	5,892	2,019	04/06/1999	Schlom et al.			09/01/1994	
*	AH3	5,939	,598	08/17/1999	Kucherlapati et al.			07/30/1992	
T TO	Al3	5,969	,108	10/19/1999	McCafferty et al.			01/08/1993	
	AJ3	6,017	,733	01/25/2000	Reff			01/26/1998	
100	AK3	6,075	,181	06/13/2000	Kucherlapati et al.			06/07/1995	
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. 4	AR	3		OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Carrasquillo, J. A., et al., "Improved Imaging of Metastatic Melanoma with High Dose 9.2.27 In-111 Monoclonal Antibody," J. of Nucl. Med. 26:P67, Society of Nuclear Medicine, Inc. (1985).					
щ	AS	3	Carter, P., et al., "Humanization of an anti-p185 ^{HER2} antibody for human cancer therapy," Proc. Natl. Acad. Sci. USA 89: 4285-4289, National Academy of Sciences (1992)						
M	AT	3	Chabner, et al., "Antineoplactic Agents," in Goodman & Gilman's The Pharmacological Basis of Therapeutics, 9 th ed., Wonsiewicz, M. J., and McCurdy, P., eds., McGraw-Hill, New York, NY, pp. 1233-1287 (1996).						
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	AA4	6,15	9,730	12/12/2000	Reff			03/30/1999	
	AB4	6,19	3,980	02/27/2001	Efstathiou et al.			09/17/1997	
	AC4	6,39	9,061	06/04/2002	Anderson et al.			06/07/1995	
\	AD4	6,68	2,734	01/27/2004	Anderson et al.	<u></u>		06/07/1995	
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Cy	AR	4	Chothia, C	OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Chothia, C., and Lesk, A. M., "Canonical Structures for the Hypervariable Regions of Immunoglobulins," <i>J. Mol. Biol.</i> 196:901-917, Academic Press Ltd. (1987)					
Cy	AS	<u>4</u>		Clackson, T., et al., "Making antibody fragments using phage display libraries," <i>Nature</i> 352:624-628, Macmillan Magazines Ltd. (1991)					
M	АТ	4	Interaction	Cunningham, B. C., and Wells, J. A., "High-Resolution Epitope Mapping of hGH-Receptor Interactions by Alanine-Scanning Mutagenesis," <i>Science 244</i> :1081-1085, American Association for the Advancement of Science (1989)					
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ly.	AR	5	De Vos. A	. M., <i>et al.</i> , "l	Human Growth and E. ex," <i>Science 255</i> :306	xtracellular Domai	n of Its Recepto	r: Crystal e Advancement
G	AS	<u>5</u>	Member of	Doudney, K., et al., "Cloning and Characterization of Igsf9 in Mouse and Human: A New Member of the Immunoglobin Superfamily Expressed in the Developing Nervous System," Genomics 79:663-670, Elsevier Science (May 2002)				
M	АТ	5	Fields, S.,	Fields, S., and Song, O., " A novel genetic system to detect protein-protein interactions," Nature 340: 245-246, Macmillan Magazines Ltd. (1989)				
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Cy	AR	<u>6</u>	Freedman, Internal Me (1994)	Freedman, A. S., and Nadler, L. M., "Malignant Lymphomas," in <i>Harrison's Principles of Internal Medicine</i> , 13 th ed., Isselbacher, K. J., <i>et al.</i> , eds., McGraw Hill, Inc., New York, NY (1994)				
щ	AS	<u>6</u>		Freshney, R. I., "Quantitation and Experimental Design," in <i>Culture of Animal Cells</i> , Alan R. Liss, Inc., New York, NY, pp. 227-296 (1987)				
U	AT	<u>6</u>	to intercala	Gautier, C., et al., "α-DNA IV: α-anomeric and β-anomeric tetrathymidylates covalently linked o intercalating oxazolopyridocarbazole. Synthesis, physicochemical properties and poly (rA) sinding," Nucleic Acids Research 15:6625-6641, IRL Press Ltd. (1987)				
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Y	AS	Z	Thymusles Human Sol	Giovanella, B. C., et al., "Heterotransplantation of Human Malignant Tumors in "Nude" Thymusless Mice. II. Malignant Tumors Induced by Injection of Cell Cultures Derived From Human Solid Tumors," <i>Journal of the National Cancer Institute</i> 52:921-930, U.S. Dept. of Health, Education, and Welfare (1974)					
M	AT	Z	Goding, J. W., "Production of Monoclonal Antibodies," in <i>Monoclonal Antibodies: Principles and Practice</i> , 2 nd ed., Academic Press Inc. , London, pp. 59-103 (1986).						
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91	AR	8	Griffiths, A	. D., et al., "l	Human anti-self a	ntibodies with	high spec	ificity from pha	age display
9	AS	8	Holliger, P. Acad. Sci.	Holliger, P., et al., ""Diabodies": Small bivalent and bispecific antibody fragments," <i>Proc. Natl.</i> Acad. Sci. USA 90:6444-6448, National Academy of Sciences (1993)					
4	AT /	8	Huse, W. D., et al., "Generation of a Large Combinatorial Library of the Immunoglobin Repertoire in Phage Lambda," <i>Science 246</i> :1275-1281, American Association for the Advancement of Science (1989)						
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G	AR	9	activity in a	Huston, J. S., et al., "Protein engineering of antibody binding sites: Recovery of specific activity in an anti-digoxin single-chain Fv analogue produced in <i>Escherichia coli</i> ," <i>Proc. Natl. Acad. Sci. USA 85</i> :5879-5883, National Academy of Sciences (1988)					
Y	AS	9		Inoue, H., et al., "Sequence-dependent hydrolysis of RNA using modified oligonucleotide splints and RNase H," Febs Letters 215:327-330, Elsevier Science Publishers B.V. (1987)					
V	AT	9	Inoue, H., et al., "Synthesis and hybridization studies on two complementary nona(2'-O-methyl)ribonucleotides, " <i>Nucleic Acids Research 15</i> :6131-6148, IRL Press Ltd. (1987)						
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a	AS	10	Jakobovits artificial ch	Jakobovits, A., et al., "Germ-line transmission and expression of a human-derived yeast artificial chromosome," <i>Nature 362</i> :255-258, Macmillan Magazines Ltd. (1993)					
Y	AT	10	Johnson, F 3:564-571	C. S., and Chi	swell, D.J., "Human a	antibody engineering	g," Curr. Opin.	Struct. Biol.	
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Y	AS	11		Jones, P. T., et al., "Replacing the complementarity-determining regions in a human antibody with those from a mouse," <i>Nature 321</i> :522-525, Macmillan Journals Ltd. (1986)					
M	AT	11	Carrying D	Kingsman, A. J., et al., "Replication in Saccharomyces cerevisiae of Plasmid pBR313 Carrying DNA from the Yeast trpl Region," Gene 7:141-152, Elsevier/North-Holland Biomedical Press (1979)					
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Ŋ	Li, Y., and Zhu, C., "A modified Boyden chamber assay for tumor cell transendothelial migration in vitro," Clinical & Experimental Metastasis 17:423-429, Kluwer Academic Publishers (1999)						lothelial demic	
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U	AR	<u>14</u>	Milstein, C. Nature 305	Milstein, C., and Cuello, A. C., "Hybrid hybridomas and their use in immunohistochemistry," Nature 305:537-540, Macmillan Journals Ltd. (1983)					
Щ	AS	14	in Current F	Mobley, J. L., and Shimizu, Y., "Measurement of Cellular Adhesion Under Static Conditions," in <i>Current Protocols in Immunology</i> , Coligan, J. E., <i>et al.</i> , eds., John Wiley & Sons, Inc., New York, NY, Ch. 7.28 (1994).					
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щ	AR	<u>16</u>	Old, L. J., ' Lecture," C	Old, L. J., "Cancer Immunology: The Search for SpecificityG. H. A. Clowes Memorial Lecture," <i>Cancer Res. 41</i> :361-375, American Association for Cancer Research (1981)					
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M	AS	18	Presta, L., 2632, Ame	Presta, L., et al., "Humanization of an Antibody Directed Against IgE," J. Immunol. 151:2623-2632, American Association of Immunologists (1993)					
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		Page 25 of 26
	ATTY. DOCKET NO.	APPLICATION NO.
•	2159.0030001/LBB/PAC	10/764,604
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	McLachian, et al.	
INFORMATION DISCLOSURE STATEMENT	FILING DATE	ART UNIT
	January 27, 2004	1614

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Ly	AR	<u>25</u>		/erhoeyen, M., et al., "Reshaping Human Antibodies: Grafting an Antilysozyme Activity," Science 239:1534-1536, American Association for the Advancement of Science (1988)					
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		Page 26 of 26
	ATTY. DOCKET NO. 2159.0030001/LBB/PAC	APPLICATION NO. 10/764,604
FORM PTO-1449	FIRST NAMED INVENTOR	
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INFORMATION DISCLOSURE STATEMENT	FILING DATE	ART UNIT
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of

Complete if Known					
Application Number	10/764,604				
Filing Date	January 27, 2004				
First Named Inventor	Karen McLachlan				
Art Unit	1642				
Examiner Name	Lei Yao				
Attorney Docket Number	2159.0030001/EKS/PAC				

			U.S. PATENT DO		
Examiner Initials	Cite No. '	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	140.	Number-Kind Code ^{2 (If Known)}			
11	USI	5693465	12-02-1997	Manning et al.	
M	US2	2003/0215457 A1	11-20-2003	de Sauvage et al.	
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	FP1	WO 01/55178 A2	08-02-2001	GODDARD et al.		
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1/	FP3	WO 02/22660 A2	03-21-2002	TANG et al.		\vdash
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Sheet	1	of	1	Attorney Docket Number	2159.0030001/EKS/PAC	

	·	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published	T,
U,	NPL1	Shi, Song-Hai, et al., "The immunoglobulin family member dendrite arborization and synapse maturation 1 (Dasm1) controls excitatory synapse maturation," <i>Proc. Natl. Acad. Sci. USA 101</i> :13346-13351, The National Academy of Sciences of the USA (September 2004)	
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4	NPL3	Letter from Andrew Chin, Associate Professor at The University of North Carolina at Chapel Hill to Dr. Karen McLachlan in regards to U.S. Patent Application No. 10/764,604, 3 pages (December 2004)	
y	NPL4	Chin, Andrew, "Table of Contents," for CD ROM (attached herewith), 1 page (March 2002)	
4	NPL5	Copy of sequence alignment between Applicants IGSF-9 sequence and the sequence submitted on the CD ROM, 2 pages (performed January 2005)	
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